ANALYSIS AND ASSESSMENT OF DYNAMIC RESPONSE TO PASSENGERS DURING LIFT EMERGENCY BRAKING

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ABSTRACT

The paper presents an analysis and assessment of recorded accelerations acting on Hybrid III dummy during emergency braking of a passenger lift. The dummy was equipped with a system of three-axis accelerometers. The type of progressive safety gear that has the shortest time of reaction and the shortest effective braking distance in its group, was chosen for tests. The tests were carried out on an emplacement, which simulated the movement of passenger lift. Tests of emergency braking were performed at variable load of a cabin corresponding to the mass of different passengers number. The cabin was dispelled by the gravity force using its free-fall. During the braking, the values of accelerations effected on individual parts of dummy, were measured. Based on them and using HIC and Nij indexes, the impact of temporary accelerations on passenger’s health was determined.

Keywords: emergency braking, passenger lift, dummy, progressive safety gears, HIC index

INTRODUCTION

Passenger lifts as a type of conveyor system, must provide the required level of passenger safety and comfort, which are specified in the European Union Directive [1] and in a ISO standard [7]. In order to guarantee of relevant standards, the safety gears, speed restrictors and PTC thermistor switch-off devices are used [13]. The occurrence of a dangerous situation and the activation of at least one of the safety systems may affect the comfort level of passengers of the passenger lift, but should not damage their health. There is a probability of an adverse impact on passenger health and comfort, as a result of temporary acceleration caused by safety gear working effect. This condition may occur despite the required condition of the permissible delay value of the whole braking process [1]. For that reason there is a need to perform the test of the influence of dynamic effect on passengers during emergency braking of passenger lift cabin using safety gears.

STATE OF THE ART

The dynamic issues of lifts and their influences, both on technical elements and passengers, were conducted inter alia by authors in the articles [6] and [24]. Herra and others in [6] presented the standardised way of determination stiffness and dumping coefficients of a platform lift. Moreover, Vladic and others [24] showed a dynamic model of a lift, which calculates a critical lifting speed value. On reaching this value, a rope slippage may be a trigger to free-fall of the lift and as a result of it, activates safety gears.

The passengers ride comfort and methods improving it, were presented in [25]. In which, Yang and others showed the model based on fuzzy logic approach, which controlled a unit of passengers lifts. Furthermore, Lonkwic and Gardynski in [9] put across a possible way of reducing oscillations, which are transmitted on a cabin from drive elements. Additionally, Herra and Kaczmarczyk in
[5] determined a dumping coefficient, according to a type of passengers shoes.

The research, concerned a dynamic influence on lift passengers during a failed emergency braking process, were conducted by Funai and others and presented in [3]. Authors analysed and evaluated hits of a fully loaded cabin with a dummy or a volunteer inside, directly into set down buffers. As a result of it, the mathematical model for predicting dynamic influences on passengers, for that kind of emergency situations, was developed. The design subject matters of safety gears and their effects on passenger safety were discussed in [8, 10, 11, 12]. Lonkwic in [8] presented results from tests of different types of progressive safety gears, according to variable load and measured stopping distance of a cabin. The results showed that a type CHP-2000 of a progressive safety gear, presented in [10] and [11], is characterised by the shortest effective stopping distance and the shortest time of acting.

In theory, considering a shorter stopping distance and retain an acceptable mean value of deceleration of a cabin, a higher level of passengers safety is provided. However, the shorter stopping distance brings on higher dynamic impact on passengers, which may results in negative influence on a bone system, especially a spine and internal organs [21].

The Severity Index (SI) is a general criterion to asses influences from short time accelerations on humans health. To analyse sudden processes of changing accelerations, the Crash Severity Index is used. However, in [18] was showed that CSI may not be efficient enough in assessment of probability of injury and it depends on a large number of factors, which their influences on CSI value are not unambiguously set out.

The analysis of a process of changing speed values is defined by variables such as: its duration, a value and a course action of force towards human body. The authors in [23] showed that each of them significantly effect on human health. This process is assessed by Human Linear Tolerance (HLT), for which maximal acceptable value for a human is indicated on 35g. Under the assumptions that the force acts in a frontal plane direction and time duration is less than 30ms. The worst-case of a dynamic influence on human occurs in vertical direction consistent with the gravity force. The human limit for this case is stated on 12g in 30ms time duration. However, decreasing the analysed duration time to 10ms, the value of threshold of human endurance increases to values: 45g for frontal impact and 25g for vertical force direction [2, 16]. Analysis and assessment of dynamic influences on particularly exposure human body parts, such as head and neck spine section, are defined by two indexes: Head Injury Criterion (HIC) (1) and Neck Injury Criterion (Nij) (2) presented in [2, 4, 14, 19, 20].

\[
HIC = \max \left( \frac{1}{(t_2-t_1)} \cdot \int_{t_1}^{t_2} \ddot{a} \cdot dt \right)^{2.5} \cdot (t_2-t_1) \tag{1}
\]

\[
Nij = \frac{F_x}{F_{xcrit}} + \frac{M_x}{M_{xcrit}} \tag{2}
\]

where: \(t_1, t_2 \text{ [s]} \) – duration of a maximal acceleration, \( \ddot{a} \text{ [m/s}^2] \) – a mean value of acceleration in analysed time period,

\[
Nij = \frac{F_x}{F_{xcrit}} + \frac{M_x}{M_{xcrit}} \tag{2}
\]

where: \( F_x \text{ [N]} \) i \( M_x \text{ [Nm]} \) – shearing force and bending moment act in a neck spine section, \( F_{xcrit} \text{ [N]} \) i \( M_{xcrit} \text{ [Nm]} \) – critical values of shearing force and bending moment.

The generally accepted time periods use for analysis of temporary dynamic influences on human body are 10ms and 15ms. The threshold, above which a serious brain damage may occur, for 15ms period is determined to 700 [2] and for 10ms to 1000 [19]. Index Nij has no unambiguously defined the threshold, however the authors in [21, 22, 26], presented that the value of 40g in 10ms time duration may occur significant damages of spine, and the value of the index, in this case, equals 1.

**SELECTION OF THE EQUIPMENT AND METHODOLOGY OF CARRYING EMERGENCY BRAKING TESTS**

A progressive safety gear (type CHP 2000) was chosen for the tests to determine the actual dynamic impacts on the most expose parts of the passenger’s (dummy) body during the emergency braking of the lift cabin. The criteria of choosing the safety gear to the tests were: the shortest effective braking distance and the shortest time of delay. The choice of the experiment safety gear was based on the test results of the various types of safety gears carried out for the variable load parameters of the cabin and the different heights of its free-fall [10, 11].

The safety gear CHP 2000 is characterised by the progressive changes of braking force. During normal operation of the lift, a safety gear
is triggered by a speed restrictor after exceeding 115% of the nominal speed of the cabin. The maximum force of a roller clamp to the cabin drive is set by the pre-deflection of the spring discs. The maximum braking force is reached at the end of the process, when the roll reaches the maximum permissible displacement in the safety gear case.

In order to perform the tests, the described site in [8,10] was properly modified (Figure 1 and Figure 12).

The test dummy Hybrid III, equipped with six three-axis accelerometers in the range of +/-20g and a registration frequency of 1000Hz, was used for the tests. Accelerometers were placed in the head, in the chest (at the base of the cervical segment) and on each of the limb of the dummy. Registration system was placed inside the dummy and was launching before every test.

The speed of loaded cabin in a free-fall was measured by an optical sensor. The accuracy of the measurement was defined by 10mm optical markers. The measurement frequency of the optical sensor was 100Hz. The dummy was placed on the platform, which was directly connected to cabin drives. As a result of it, the maximum force acted along the spine axis.

All tests of emergency braking of passenger lift cabin were characterised by the same height of cabin free-fall (125mm) after which the progressive safety gears were released. The value of the free-fall height was assorted to provide the speed of the cabin, in the moment of safety gears activation, equalled to a normal lift speed during operation.

The variable test parameter was the cabin load. The difference between the tests, corresponded to the nominal load of one passenger. The range of variable load with the mass of the cabin (258,9kg) was presented in (Table 1). After every braking test, the braking distance and the technical condition of the clamping roller of the safety gear, the condition of cleanliness, geometric parameters and straightness of the cabin drive were evaluated. The clamping roller of the safety gear was replaced and the cabin drive was cleaned, if it did not comply with its nominal geometric parameters.

The emergency braking tests were carried out with the gradual increase of the load cabin, in order to reduce the probability of deformation of the cabin drives. Before every test the measuring system was switch on in a proper advance, in order to stabilise potential system vibrations.

RESULTS

Tests of cabin emergency braking were conducted with incremental cabin load. It resulted in a longer stopping distance and decreasing a mean value of deceleration of the whole braking process in every next test (Table 1). The highest values of deceleration (peaks) acting on the dummy were measured in the first test (a minimal loaded

<table>
<thead>
<tr>
<th>TEST</th>
<th>Distance of a free fall of the cabin [mm]</th>
<th>Stopping distance [mm]</th>
<th>Cabin load [kg]</th>
<th>Mean value of deceleration [g]</th>
</tr>
</thead>
<tbody>
<tr>
<td>TEST 1</td>
<td>125</td>
<td>210</td>
<td>337.3</td>
<td>0.62</td>
</tr>
<tr>
<td>TEST 2</td>
<td>125</td>
<td>215</td>
<td>415.7</td>
<td>0.61</td>
</tr>
<tr>
<td>TEST 3</td>
<td>125</td>
<td>220</td>
<td>494.1</td>
<td>0.59</td>
</tr>
<tr>
<td>TEST 4</td>
<td>125</td>
<td>235</td>
<td>572.5</td>
<td>0.56</td>
</tr>
<tr>
<td>TEST 5</td>
<td>125</td>
<td>255</td>
<td>650.9</td>
<td>0.52</td>
</tr>
</tbody>
</table>
cabin) and the slightest were measured while the cabin was maximal loaded (Table 2).

The Table 1. shows that mean values of deceleration of the whole braking process, according to concern standards, are acceptable. In spite of that registered values of acceleration acting on the dummy (Table 2), are more than 4-8 times over the ISO standard threshold of mean value for the whole braking process [7].

The preliminary analysis of maximal registered values of accelerations acting on dummy parts (Table 2) resulted in, that only measured values acting on the head and the neck (chest) were taken to further analysis. Despite that ac-

Table 2. Maximal measured acceleration values acting on different parts of dummy

<table>
<thead>
<tr>
<th></th>
<th>Head [g]</th>
<th>Chest [g]</th>
<th>Left hand [g]</th>
<th>Right hand [g]</th>
<th>Left leg [g]</th>
<th>Right leg [g]</th>
</tr>
</thead>
<tbody>
<tr>
<td>TEST 1</td>
<td>10,25</td>
<td>8,60</td>
<td>13,58</td>
<td>14,00</td>
<td>8,08</td>
<td>8,29</td>
</tr>
<tr>
<td>TEST 2</td>
<td>10,04</td>
<td>8,95</td>
<td>13,32</td>
<td>13,40</td>
<td>8,13</td>
<td>8,60</td>
</tr>
<tr>
<td>TEST 3</td>
<td>8,16</td>
<td>6,87</td>
<td>9,05</td>
<td>9,93</td>
<td>6,49</td>
<td>6,78</td>
</tr>
<tr>
<td>TEST 4</td>
<td>6,73</td>
<td>6,16</td>
<td>6,53</td>
<td>6,61</td>
<td>6,52</td>
<td>6,87</td>
</tr>
<tr>
<td>TEST 5</td>
<td>4,73</td>
<td>3,98</td>
<td>5,01</td>
<td>5,07</td>
<td>5,03</td>
<td>4,75</td>
</tr>
</tbody>
</table>

![Fig. 2. Profile of registered acceleration values in the dummy head with the calculated resultant value – TEST 1](image)

![Fig. 3. Profile of registered acceleration values in the dummy chest/neck with the calculated resultant value – TEST 1](image)

![Fig. 4. Profile of registered acceleration values in the dummy head with the calculated resultant value – TEST 2](image)

![Fig. 5. Profile of registered acceleration values in the dummy chest/neck with the calculated resultant value – TEST 2](image)
Accelerations values acting on hands and legs were the highest (hands: 5.01-14.00g, legs: 4.75-8.08g), based on HLT index, they were in the acceptable range [23].

Figures 2 to 11 present the measured values (in three axes) of accelerations with calculated resultants values, acting on the head and the neck of the dummy. The black colour corresponds to x-axis, blue to y-axis, green to z-axis values (Figure 12), while the red corresponds to resultant values from all three axes. To the calculations of values of HIC index were used values from specified time windows, showed in Figures 2, 4, 6, 8 and 10).

Figures 3, 5, 7, 9 and 11 present registered values by the chest accelerometer during emergency braking tests. To the calculations of values of Nij index were used maximal measured acceleration values.

Recorded accelerations by the accelerometers located in the dummy head (Figure 12), were acting in direction in line with the spine axis. The registered values were in the range between 4.73-10.25g. The highest g-forces were registered during the braking process with loaded cabin only by the dummy. The x-axis acceleration values registered by a chest accelerometer (Figure 12), were higher in the first two tests with limited load.
in the cabin. The x-direction of acting acceleration causes a bending moment and a tensile force which stretches out hyoid bones in the neck.

**ASSESSMENT OF RECORDED RESULTS**

In order to quantitatively and qualitatively analysis of measured accelerations values, the HIC and Nij indexes were calculated using the equations (1) and (2) for each test (Table 3)

HIC index was calculated for maximum acceleration resultant values recorded in the 15ms intervals (assumptive time windows). The components of equation (2) were calculated before the value of Nij index. In order to calculate the shearing force acting in the horizontal plane (it is defined by the X and Y axes of the mounted sensors), the dummy head mass of 4.54 kg [15] and the maximum value of the resultant force recorded in the horizontal plane were taken.

The value of the bending moment arm was determined from the base of the cervical segment to the location of the accelerometer in the dummy’s head, which allowed the $M_{\text{crit}}$ to be determined. The critical force values of $F_{\text{crit}}$ and $M_{\text{crit}}$ were adopted on the basis of [17].

The quantitative limit of the HIC index, analysed over 15ms, is 700. Above this value may occur a brain injury. Probability of a brain dam-

<table>
<thead>
<tr>
<th></th>
<th>HIC$_{15}$</th>
<th>Nij</th>
</tr>
</thead>
<tbody>
<tr>
<td>TEST 1</td>
<td>5.05</td>
<td>0.22</td>
</tr>
<tr>
<td>TEST 2</td>
<td>4.75</td>
<td>0.28</td>
</tr>
<tr>
<td>TEST 3</td>
<td>2.80</td>
<td>0.36</td>
</tr>
<tr>
<td>TEST 4</td>
<td>1.74</td>
<td>0.36</td>
</tr>
<tr>
<td>TEST 5</td>
<td>0.71</td>
<td>0.35</td>
</tr>
</tbody>
</table>

**Fig. 10.** Profile of registered acceleration values in the dummy head with the calculated resultant value – TEST 5

**Fig. 11.** Profile of registered acceleration values in the dummy chest/neck with the calculated resultant value – TEST 5

**Fig. 12.** The dummy with marked axes of head and chest accelerometers

**Table 3.** Values of HIC and Nij indexes calculated during emergency braking
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age at the level of 5% is assumed to be 300 HIC. Calculated values of HIC index (Figure 13.) for recorded accelerations, during individual emergency braking tests, are negligible relate to the established level of safety.

The calculated Nij values (Figure 14.) for each test did not exceed 40% of the allowable limit value of the index. It shows no direct danger to the passenger’s cervical segment during the emergency braking.

CONCLUSIONS

The conducted tests of emergency braking of passenger lift cabin shows that installing the progressive safety gears does not adversely affect the health of passengers. Calculated acceleration impact assessment indexes on passenger’s heads (HIC) and cervical segments (Nij) did not exceed the limit values. Furthermore, the values of dynamic impacts fall into the accepted standards set in the literature.

Actions leading to providing a shorter braking distance and response time of the safety gear are particularly desirable specifically in passenger lifts, which operating velocities and accelerations due to the high number of floors to be handled, are relatively high. Therefore, carry out the braking tests with recording the dynamic impacts acts on passengers, while increasing the cabin dynamics, is significant in determining an acceptable level of safety.

Additionally, as calculated values of HIC and Nij indexes showed that there is an opportunity to increase the progressive safety gear braking force, as the average deceleration of the whole braking process, do not exceed the gravity of the Earth. However, the dynamic impact on the passengers in short time periods should be checked, when a new construction of safety gears solutions is introducing for providing more efficient braking.

REFERENCES


